

IBM DOCKET: FIS920010174US1

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



INVENTOR: Quesenberry et al. ) EXAMINER:  
SERIAL NO.: ) ART UNIT:  
FILING DATE: ) DATE: December 10, 2001  
FOR: AUTOMATIC DATA )  
INTERPRETATION AND )  
IMPLEMENTATION USING )  
PERFORMANCE CAPACITY )  
MANAGEMENT FRAMEWORK )  
OVER MANY SERVERS )

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, the following items are made of record to assist the Patent & Trademark Office in its examination of this application and is, in the opinion of the attorney designated below for applicant(s), information relevant to the closest prior art of which that person is aware. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

**PATENT NO.**

4,823,286  
5,911,048  
6,078,924  
6,148,335

**INVENTOR**

Lumelsky  
Graf  
Ainsbury et al.  
Haggard et al.

**ISSUE DATE**

April 18, 1989  
June 8, 1999  
June 20, 2000  
November 14, 2000

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: FIS920010174US1	SERIAL NO.:
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Quesenberry et al. <i>#5</i>	
(Use several sheets if necessary) (37 CFR 1.98(b))		FILING DATE:	GROUP: <i>8-31-02</i>

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	4,823,286	4/18/89	Lumelsky			
	AB	5,911,048	6/08/99	Graf			
	AC	6,078,924	6/20/00	Ainsbury et al.			
	AD	6,148,335	11/14/00	Haggard et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

1021 U.S. PTO  
10/023154  
12/17/01

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AL	WO 00/58903 ✓	10/5/00	PCT				
	AM							
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AQ	Howard Lambert, Paul Matthews; <i>Distributed Intelligence When Handling Queues and Messages Within Queues in Pervasive Client/Server Environments</i> , Abstract Research Disclosure, July 2000, Article Number 435143, page 1282. ✓
AR	L.J. O'Connor, A. Schade; <i>A Universal Data Transport Method</i> ; Abstract, Research Disclosure, September 1999, Article Number 425101, page 1255. ✓
AS	C. Critani, C. Proietti, D. Angeletti, P. Jansen; Abstract: <i>An Expert System To Assist in Performance Evaluation Of A Complex Mainframe-based Computer Site</i> ; Eleventh International Conference, Expert Systems and their Applications Conference, May 1991. ✓
AT	O. Olatidoye, S. Sarathy, G. Jones, E. Mason, L. Milligan, R. Namburu, A. Tentner; Abstract: <i>Integrated CAD-FEM Methods For Interpretation and Assessment of Structural Response Data</i> ; Proceedings of the High Performance Computing Symposium, April 1999. ✓

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.